



# The equivalence principle and interaction of waves with an accelerating object

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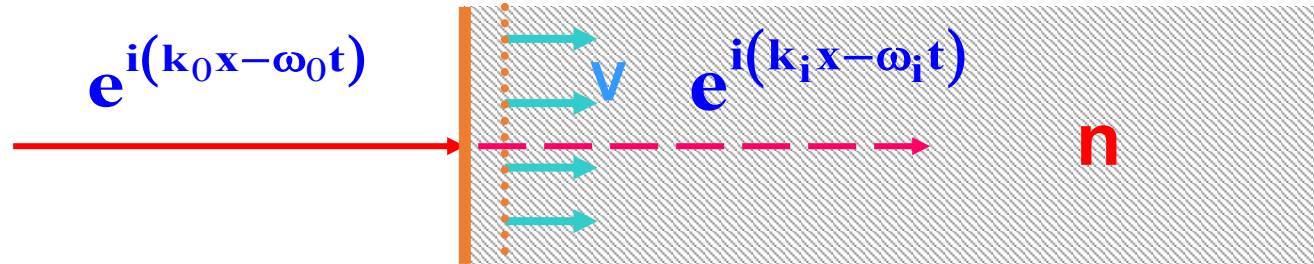
# *Accelerating Matter Effect (chronology)*

- **1977. V. Mikerov** numerically investigated the problem of UCN transmission through the oscillating foil and found that the neutron energy do not conserved at the passing through it. *V.I. Mikerov. PHD thesis, Lebedev Physical Institute, 1977 (was not published)*
- **1982. Kazuo Tanaka** solved the problem of transmission of electromagnetic waves through the linearly accelerating dielectric slab and found that there exists shift in frequency. This shift depends on the acceleration but not on the velocity *K. Tanaka. Phys. Rev. A., 25, 385 (1982)*
- **1993. F.W. Kowalski** looking for the new approach to the test of the equivalence principle (EP) in neutron optics. Solving the problem of neutron transmission through the accelerating sample **came to the contradiction with EP** but found that neutron frequency (and energy) change when neutron passes through an accelerating sample. *F. V. Kowalski. Phys. Lett. A., 182, 335 (1993)*
- **1998. V.G. Nosov and A.I. Frank** considered the problem of transmission of neutron wave through the refracting sample moving with acceleration and confirmed the Kowalski formula for the energy change. *V.G. Nosov and A.I. Frank. Phys. of Atomic Nuclei, 61, 613 (1998)*

- **2006.** FLNP-ILL group firstly detected the change of the UCN energy at transmission through the oscillating silicon slab with quality agreement with Kowalski-Nosov-Frank (KNF) formula. *A.I.Frank et al. JETP letters, 84, 363 (2006)*
- **2008.** KNF formula and Tanaka formula were derived in a uniform way from the equivalence principle. The term “Accelerating matter effect” (AME) was introduced. *A.I.Frank et al. Phys. of Atomic Nuclei, 71, 1656 (2008)*
- **2008, 2011.** New observation of AME in the agreement with theory at the level 5-7%. *A.I.Frank et al. Phys. of Atomic Nuclei, 71, 1656 (2008), JETP letters, 93, 361 (2011)*
- **2013г.** KNF formula for the neutron wave was derived from the Doppler effect at refraction. The problem of AME for the birefringent medium was analyzed. AME for neutrino was predicted. *A.I.Frank and V.A.Naumov. Phys. of Atomic Nuclei, 76,1423 (2013)*
- **2014г.** Observation of the neutron acceleration at diffraction by a oscillating crystal. *V.V. Voronin et al., JETP letters, 100, 497 (2014)*

# *Doppler effect at refraction*

# Refraction of a wave at the border of the moving matter



$$\mathbf{k}_i = n\mathbf{k}_0 \left( 1 + \frac{1-n}{n} \frac{\mathbf{V}}{v_0} \right)$$

$$\omega_i = \omega_0 + (n-1)k_0 V$$

**Doppler shift**

*A.I.Frank and V.A.Naumov. Phys. of Atom. Nuc., 76,1423 (2013)*

## Massive particle (neutron)

$$\mathbf{k}_0 = \frac{m\mathbf{v}_0}{\hbar} \quad \left( \frac{V}{v_0} \ll 1 \right)$$

$$n \equiv n(k'_0) = n(k_0 - k_v)$$

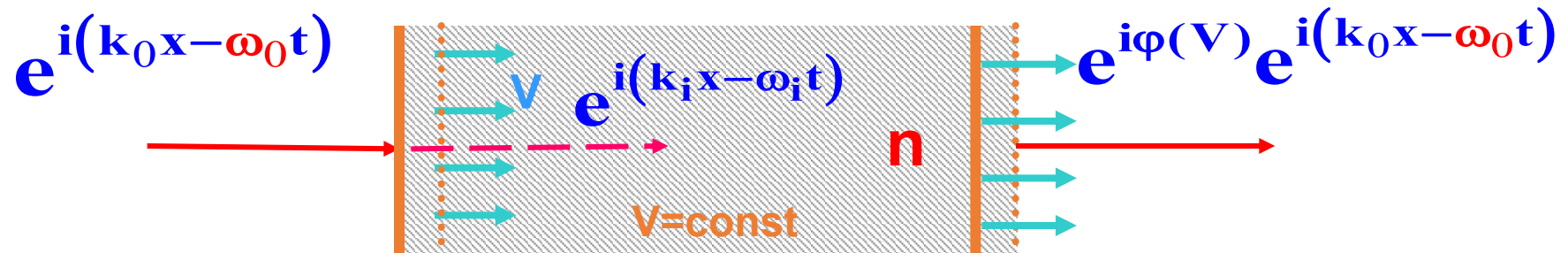
## Light

$$\mathbf{k}_0 = \frac{\omega_0}{c} \quad \left( \frac{V}{c} \ll 1 \right)$$

$$v_{\text{ph}} = \frac{c}{n} + v \left( 1 - \frac{1}{n^2} \right)$$

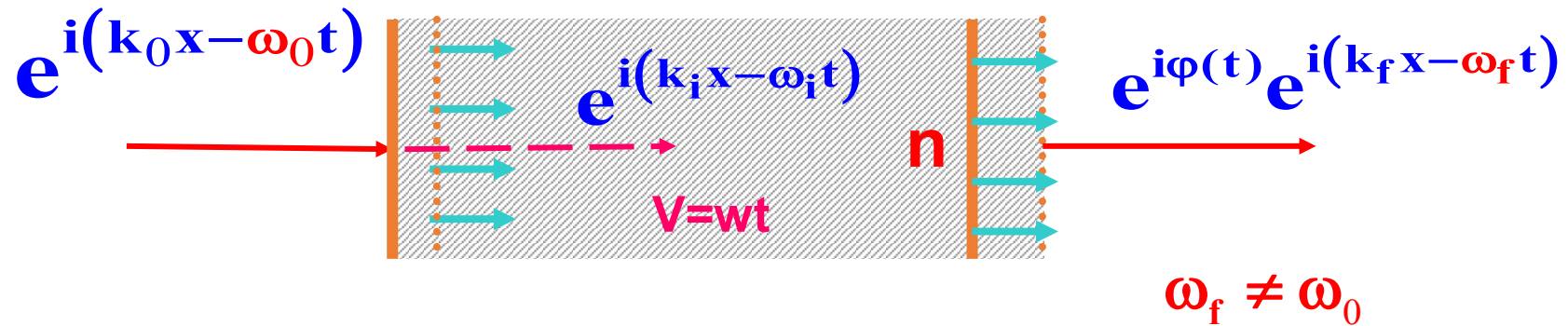
**Fresnel drag**

# *Transmission of a wave through the moving sample (constant velocity)*



*When the wave enters into the sample from free space, the frequency of the wave suffers frequency shift. When the wave comes out of the medium into free space, the frequency of the wave suffers an inverse frequency shift. **For the constant-velocity motion, these two frequency shifts cancel each other.***

# *Transmission of a wave through the moving sample (accelerated motion)*



*For the accelerated motion, two frequency shifts do not cancel because the velocity of the medium is not constant.*



# Differential Doppler effect and Accelerating Matter Effect

$$\omega_i = \omega_0 + (n' - 1)k_0 V \quad (V \ll v_0)$$

$$\Delta\omega = (n' - 1)k_0 V - (n' - 1)k_0 (V + w\Delta t) = k_0 w(1 - n')\Delta t$$

$$\Delta t = \frac{d}{n'v_0}$$

$$\Delta\omega \cong wd \frac{1-n}{n} \frac{k_0}{v_0} = \frac{mwd}{\hbar} \frac{1-n}{n} \quad (V, wt \ll v_0)$$

$$\Delta E \cong mwd \frac{1-n}{n}$$

Kowalski-Nosov-Frank

Assumptions:

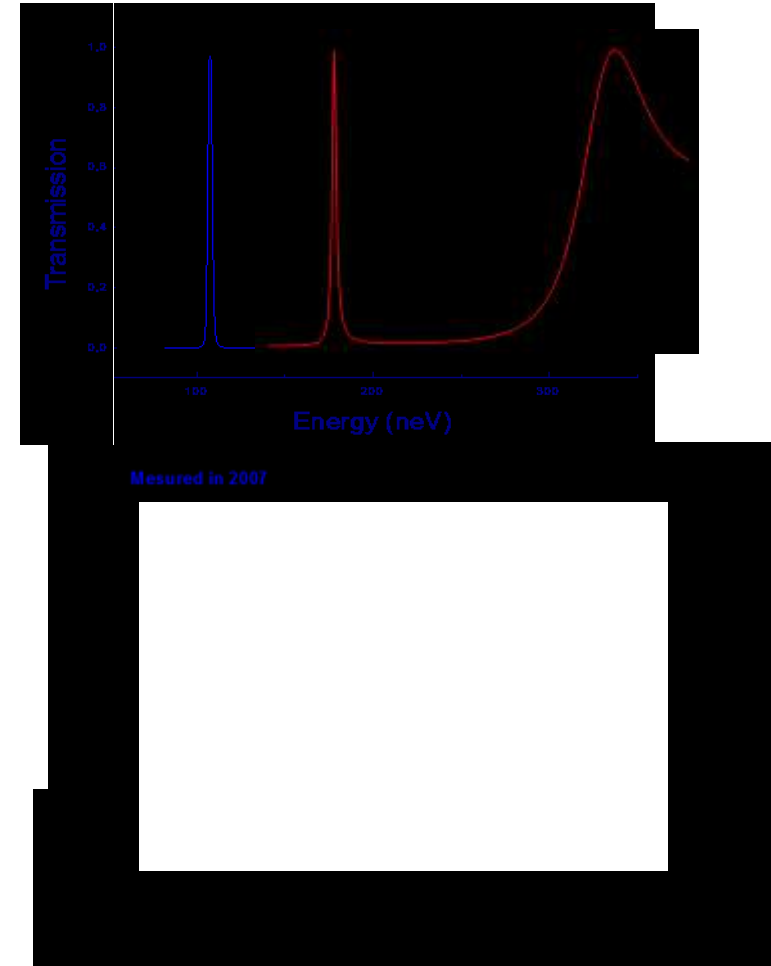
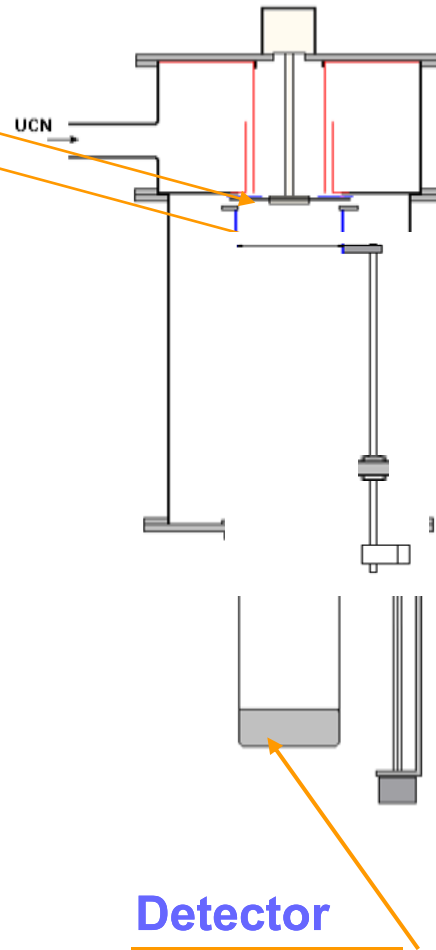
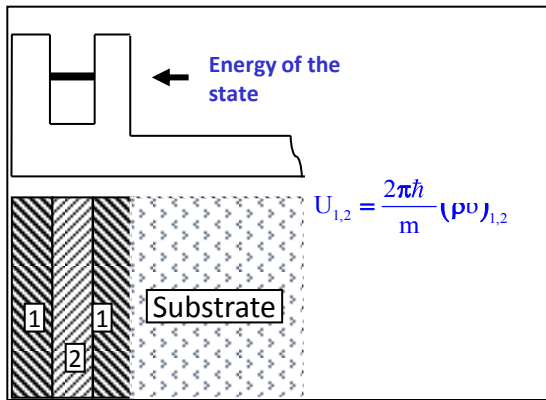
- 1) Model of effective optical is also valid in the case of accelerating matter
- 2) Quasi – classical approach is correct

*Observation of the AME in the  
experiments with UCN  
(2006-2008)  
and thermal neutrons  
(2014-2017)*

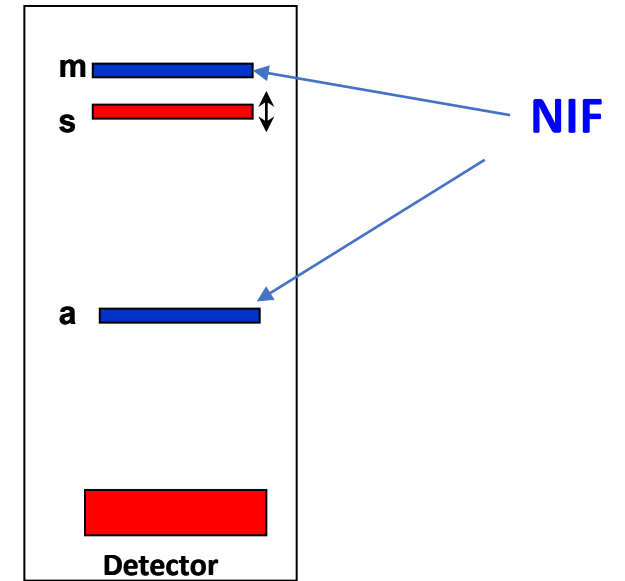
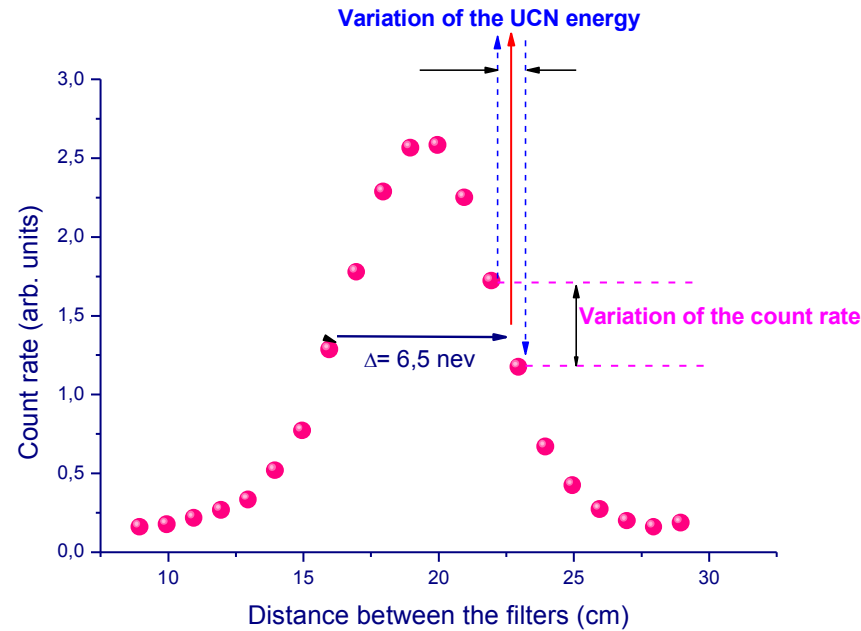
# UCN spectrometry with Fabry-Perot interferometers

Two IFP with variable space between them

$mg=1.02 \text{ neV/cm}$



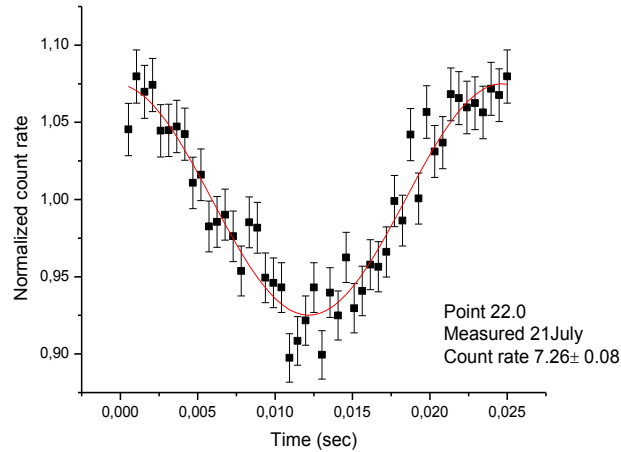
# Principle of the AME experimental observation



$$\Delta E \approx (2-5) \times 10^{-10} \text{ eV}$$

Periodically variation of the neutron energy, caused by the sample acceleration, leads to the periodical oscillation of the count rate

# Oscillation of the count rate and experimental result



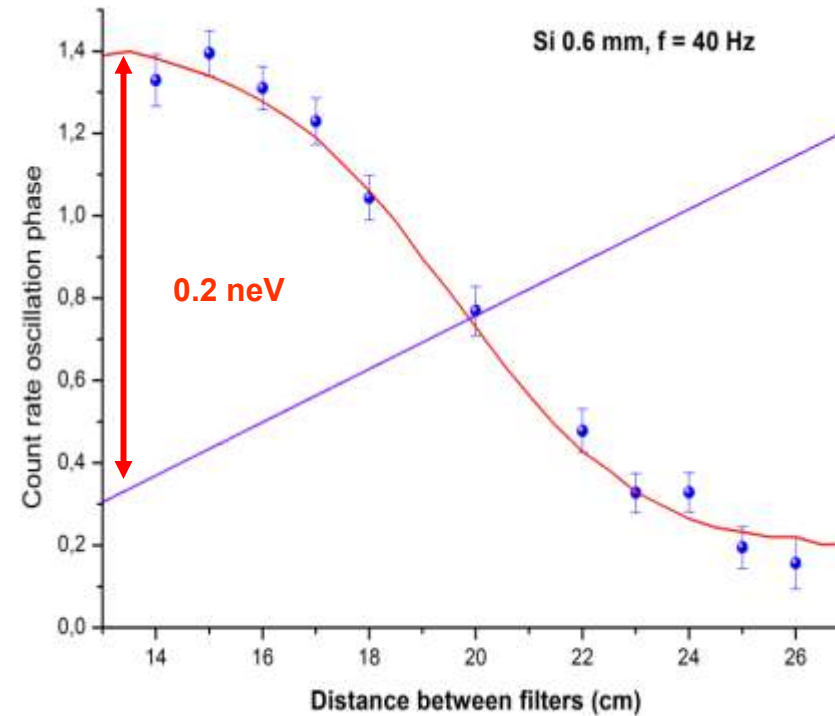
$$a \cong -A\Omega^2 \sin \Omega t \quad V \cong A\Omega \cos \Omega t$$

$$f(t) = 1 + B \sin(\Omega t - \varphi)$$

Frequency  $f = 40, 60 \text{ Hz}$

Oscillation period  $0.025, 0.017 \text{ sec}$

Time of flight  $0.11 \text{ sec}$



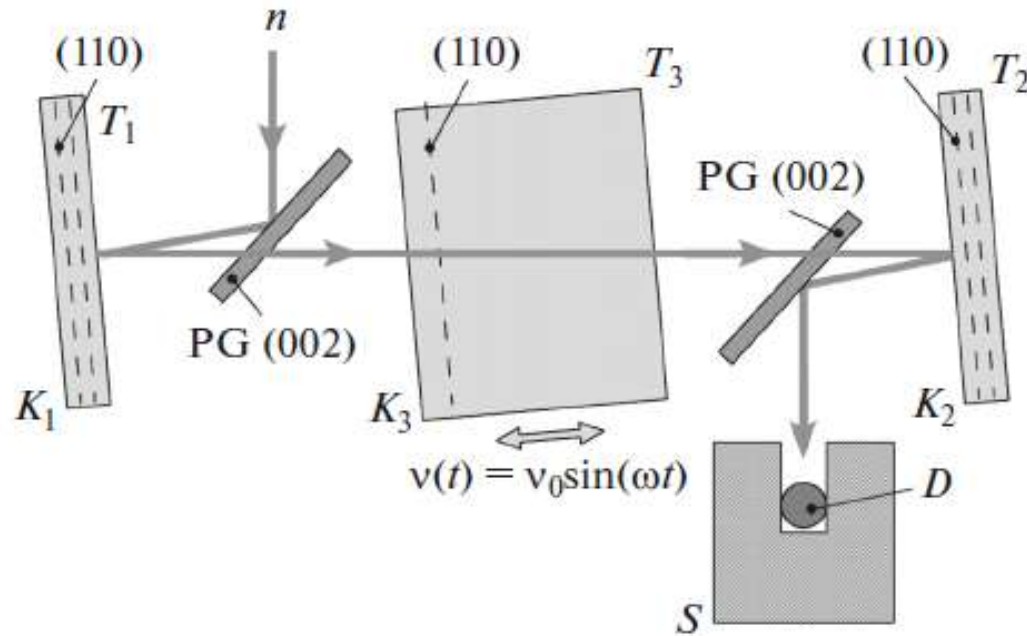
$$w_{\max} = A\Omega^2 \approx 60 \text{ m/s}^2$$

$$\Delta E \cong -K m A \Omega^2 L \left( \frac{1}{n} - 1 \right) \sin \Omega t$$

$$K = 0.94 \pm 0.06$$

*A.I. Frank, P.Geltenbort, G.V.Kulin, et al, Phys. At. Nuclei, 71 (2008) 1656.*

# Diffraction experiment of the PNPI group



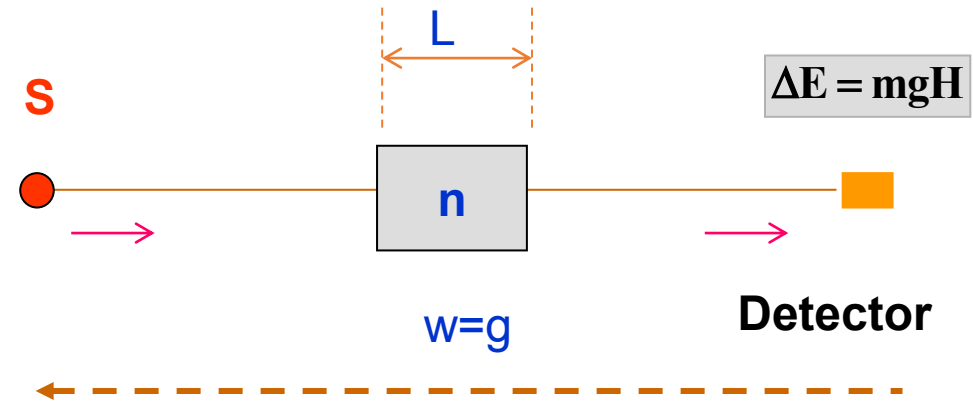
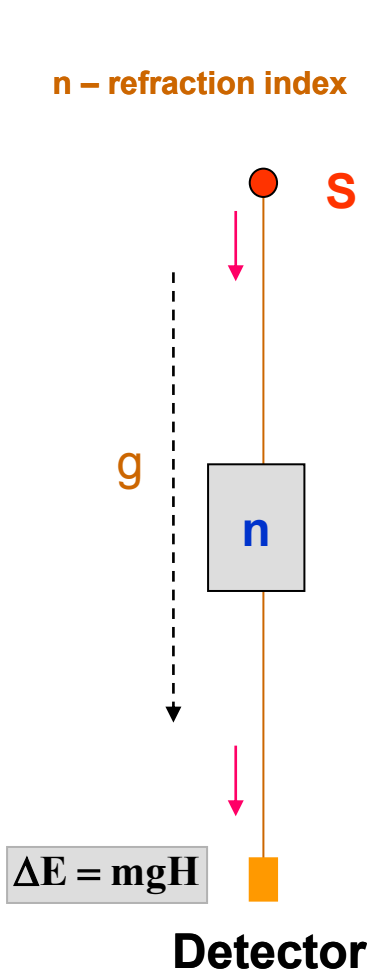
**Change of the energy was observed but interpretation of the effect is debatable**

Fig. 1. Scheme of experimental setup: ( $n$ ) collimated neutron beam, ( $K_{1-3}$ ) quartz single crystals of temperature  $T_{1-3}$ , (PG) pyrolytic-graphite crystals, ( $D$ ) neutron detector, and ( $S$ ) detector shield.

*V.V. Voronin et al., JETP letters, 100, 497 (2014)*  
*Yu. P. Braginetz et al., Phys. At. Nucl. 80, 32 (2017)*

*The equivalence principle  
and  
Accelerating Matter Effect*

# Accelerating sample and the equivalence principle



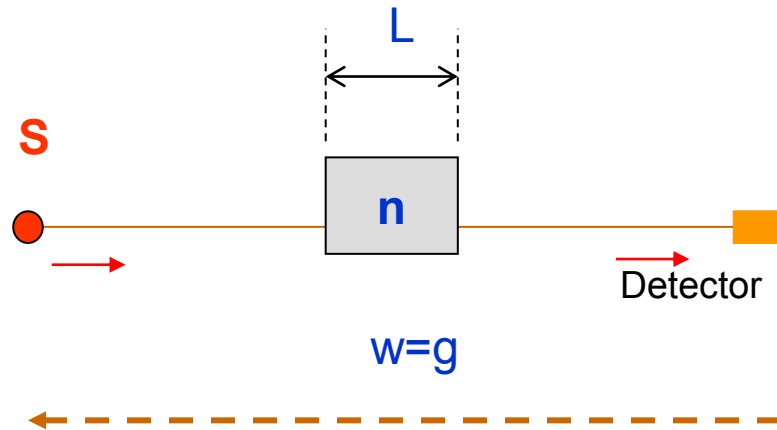
1. In both cases the energy, measured by the detector, must be the same due to the equivalence principle
2. Introduction of the refracting slab does not change the energy due to the energy conservation law (see left fig.)
3. Delay time due to refraction is  $\Delta\tau$

$$\Delta V = w\Delta\tau$$

**During this delay time the detector will continue to accelerate**



# Accelerating sample and the equivalence principle



$$\Delta v = w \Delta \tau$$

$$\Delta E = -mv \cdot \Delta v$$

If time delay  $\Delta \tau$  is the only effect related with sample then introducing of (accelerating) sample would result in change of detected energy what contradicts to the equivalence principle

Consequently for the validity of the equivalence principle it **is necessary** that time delay time  $\Delta \tau$  due to refraction must be accompanied by **the change of energy**

# Accelerating Matter Effect in neutron and light optics

## Neutrons

$$\Delta\tau = \frac{d}{v} \left( \frac{1-n}{n} \right) \quad \Delta v = w\Delta\tau$$

$$\Delta E = mv \cdot \Delta v$$

$$\Delta E \cong mwd \left( \frac{1}{n} - 1 \right)$$

KNF formula

UCNs

Si  $d \approx 0.6 \text{ mm}, w \approx 10 \text{ g}$

$$\frac{\Delta E}{E} \approx 3 \times 10^{-3}$$

## Light

$$\Delta\tau = \frac{nd}{c} - \frac{d}{c} = \frac{d}{c} (n-1)$$

$$\Delta\omega \approx \omega \frac{\Delta v}{c} = \omega \frac{w\Delta\tau}{c}$$

$$\Delta\omega \cong \frac{\omega wd}{c^2} (n-1)$$

Tanaka formula

$L \approx 1\text{m}, w \approx 10 \text{ g}$

$$\frac{\Delta\omega}{\omega} = 5 \times 10^{-15}$$

***Change of the frequency appears due to delay of the wave in a sample, which are moving with acceleration***

Neutrons

$$\Delta E \cong mwd \left( \frac{1}{n} - 1 \right)$$

$$\Delta E = mv \cdot w \Delta \tau$$

General equation

Light and relativistic particle

$$\Delta \omega \cong \frac{\omega wd}{c^2} (n - 1)$$

$$\Delta \omega = \omega \frac{w \Delta \tau}{c}$$

$$\Delta \omega = kw \Delta \tau$$

***But why the time delay related only with refraction?***

***Any object which transmitting narrow-band signal with delay shifts frequency if it is moving with acceleration.***

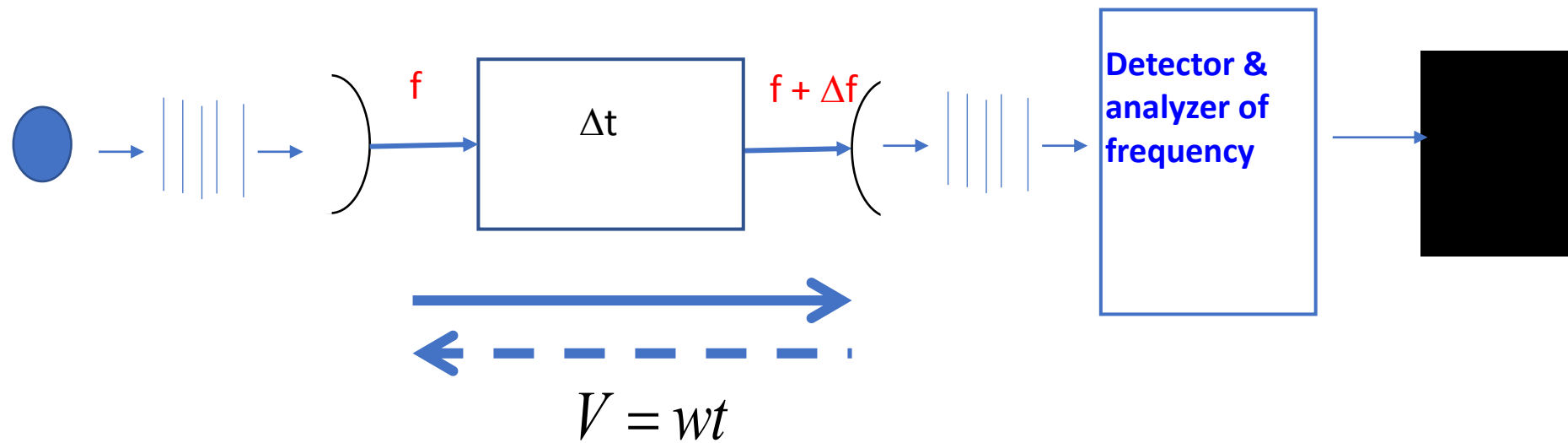
- 1. Any interaction necessary related with time delay***
- 2. Any device which is transmitting signals always doing that with delay***

***Any object which is scattering a wave or transmitting narrow-band signal shifts the frequency if it is moving with acceleration.***

*Two examples of  
accelerating object*

# The frequency of the wave, emitted by a transceiver moving with acceleration differs from an initial one

Sound, radio waves,  
light



# Group delay time at neutrons scattering at atomic nucleus

$$\Psi(\mathbf{r}) \Big|_{r>r_0} = e^{ik_0 r} + f \frac{e^{ikr}}{r}$$

$$f = f' + if''$$

Scattering amplitude

GDT  $\tau = \hbar \frac{d\varphi}{dE}$   $\varphi = \text{arctg} \left( \frac{f''}{f'} \right)$

Optical theorem

$$\sigma_t = \sigma_s + \sigma_a = \frac{4\pi}{k} f''$$

$$\sigma_s = 4\pi (f')^2$$

$\sigma_t$  - total cross-section

$\sigma_s$  - scattering cross-section

$\sigma_a$  - capture cross-section

## Group delay time at neutrons scattering at atomic nucleus

$$\psi(\mathbf{r}) \Big|_{r>r_0} = e^{ik_0 r} + f \frac{e^{ikr}}{r}$$

$$f = f' + if''$$

$$\varphi = \frac{f''}{f'} = \frac{k\sigma_t \sqrt{4\pi}}{4\pi\sqrt{\sigma_s}} = \frac{k(\sigma_s + \sigma_a)}{\sqrt{4\pi\sigma_s}} \quad k\sigma_a = \text{const}$$

$$\tau = \hbar \frac{d\varphi}{dE} = \frac{1}{v} \sqrt{\frac{\sigma_s}{4\pi}}$$

$$\tau = \frac{|b|}{v}$$

*time of flight of nucleus*

For thermal neutrons  $\tau \approx 10^{-17} \text{ s}$

For UCN  $\tau \approx 10^{-14} \text{ s}$



## *The energy transform at the neutron scattering at the sole nucleus moving with acceleration*

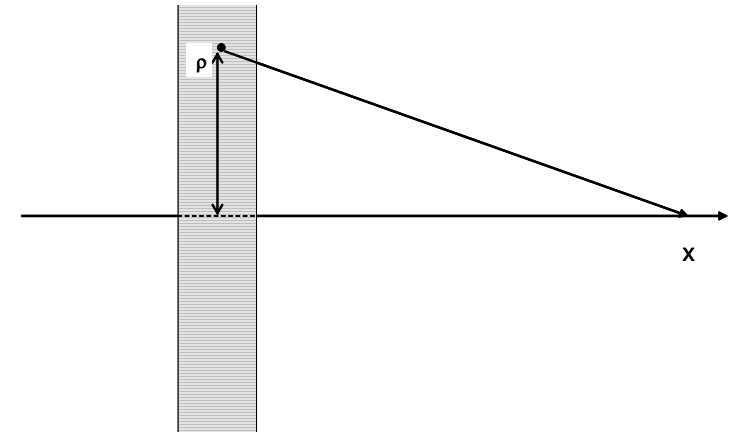
$$\Delta v = w \Delta \tau \quad \Delta E = mv \cdot \Delta v = 2E \frac{\Delta v}{v} \quad \Delta v = w \tau$$

At SAW propagation the acceleration of the near-surface region is of the order

$$w \approx 10^{10} \div 10^{11} \text{ cm} / \text{s}^2$$

For UCN  $\Delta E \approx 5 \cdot 10^{-13} \text{ eV}$

Solving the problem of neutron scattering by a sole accelerating nucleus it is possible to formulate the dispersion theory of neutron in the accelerating matter in analogy with Fermi's theory for refraction index.



# **Conclusion**

***Any object receiving and then radiating a wave shifts its frequency if it is moving with acceleration***

## **Acknowledgments**

***Many thanks to G. Arzumanyan, V. Bushuev, S. Goryunov, G.Kulin and M. Zakcharov for very fruitful discussions***

***Thank you for your attention!***