



Soft rotator multiband optical model parameters for fissile actinides

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better late than never...

Outline

- Model overview
 - Dispersive Lane-consistent multiband coupled channels optical model for soft deformed nuclides
- Optical potential building routine
- Results
 - ^{239}Pu and ^{233}U (multiband, many data to fit)
 - ^{235}U and $^{235\text{m}}\text{U}$ (isomeric state)
 - Pu241 (scarce experimental data)

Model feature 1: Dispersive relation

Casuality → Kramers–Kronig relations:

- Energy-dependent imaginary part of the potential yields additional (polarization) term to the real part:

$$\Delta V(E) = \frac{1}{\pi} \mathcal{P} \int_{-\infty}^{\infty} \frac{W(E')}{E' - E} dE'$$

- Physically realistic and constraint energy dependence of the potential
- Used energy dependence allows analytical expressions for $\Delta V(E)$
- **Result - better constrained model!**

Model feature 2: Lane consistency

Isospin-symmetric form of optical potential (only nuclear part without Coulomb):

- $V_{pp} = V_0 + \frac{N-Z}{4A} V_1,$
- $V_{nn} = V_0 - \frac{N-Z}{4A} V_1$
- $V_{pn} = \frac{\sqrt{N-Z}}{2A} V_1$

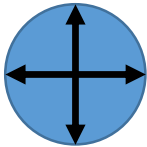
Allows same parameterization for direct neutron, proton scattering and (p,n)-reactions

Model feature 3:
Extended coupling for
soft deformed targets

Optical model for soft deformed nuclei

Conventional OMP
 $V(r, R(\theta', \varphi'))$

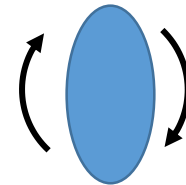
Soft spherical nucleus
(vibrational excitations)



Taylor expansion near sphere

Explicit deformations → vibrations
bad convergence for big deformations

Rigid deformed nucleus
(rotational excitations)



Static multipolar expansion

Good convergence for big static deformations
no explicit deformations → no vibrations!

But actinides are both considerably deformed in GS and soft for vibrations

Solution: Taylor expansion near axial static form

$$R_i(\theta', \varphi')$$

$$= R_{0i} \left\{ 1 + \sum_{\lambda=2,3;\text{even } \mu} \beta_{\lambda\mu} Y_{\lambda\mu}(\theta', \varphi') + \sum_{\lambda=4,6} \beta_{\lambda 0} Y_{\lambda 0}(\theta') \right\}$$

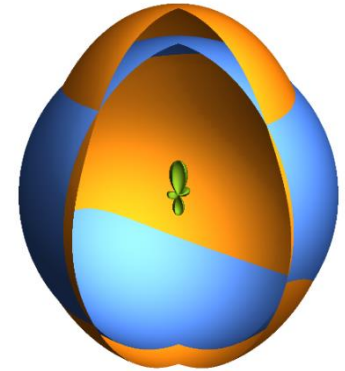
$$= R_i^{\text{zero}}(\theta') + \delta R_i(\theta', \varphi'; \delta\beta_2, \gamma, \beta_3)$$

$$\beta_2 = \beta_{20} + \delta\beta_2$$

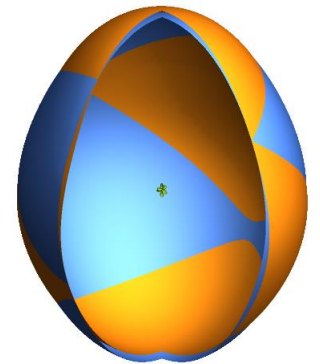
$$\langle \delta\beta_2 \rangle, \langle \beta_{20}\gamma \rangle, \langle \beta_3 \rangle, \langle \beta_{00} \rangle \ll \beta_{20}$$

$$= R_{0i} \left\{ 1 + \sum_{\lambda=2,4,6} \beta_{\lambda 0} Y_{\lambda 0}(\theta') \right\}$$

$$+ R_{0i} \left\{ \beta_{20} \left[\frac{\delta\beta_2}{\beta_{20}} \cos \gamma + \cos \gamma - 1 \right] Y_{20}(\theta') + \frac{(\beta_{20} + \delta\beta_2) \sin \gamma}{\sqrt{2}} [Y_{22}(\theta', \varphi') + Y_{2-2}(\theta', \varphi')] + \beta_3 Y_{30}(\theta') + \beta_{00} Y_{00} \right\}$$



Near sphere



Near axially deformed

Potential expansion near axially deformed shape

$$V(r, R(\theta', \varphi'))$$

$$\approx V(r, R^{zero}(\theta')) + \left. \frac{\partial}{\partial R} V(r, R(\theta', \varphi')) \right|_{R^{zero}(\theta')} \delta R(\theta', \varphi'; \delta\beta_2, \gamma, \beta_3)$$

$$\approx V(r, R^{zero}(\theta')) + \frac{v_2(r)}{R_0 \beta_{20}} \delta R(\theta', \varphi'; \delta\beta_2, \gamma, \beta_3)$$

Rigid rotor

Softness

$$R_{zero}(\theta') = R_{0i} \left\{ 1 + \sum_{\lambda=2,4,6} \beta_{\lambda 0} Y_{\lambda 0}(\theta') \right\}$$

$$v_2(r) = 2\pi \int_0^\pi V(r, R^{zero}(\theta')) Y_{20}(\theta') \sin \theta' d\theta'$$

Coupled channels matrix elements

$$\langle i|V(r, \theta, \varphi)|f\rangle$$

$$= \sum_K^I \sum_{K'}^{I'} A_K^{I\tau} A_{K'}^{I'\tau'} \left\{ \sum_{\lambda=0,2,4,\dots} v_\lambda(r) \langle IK || D_{;0}^\lambda || I'K \rangle A \left(ljI; l'j'I'; \lambda J \frac{1}{2} \right) \delta_{KK'} \right. \leftarrow \text{Rigid rotor}$$

$$+ v_2(r) \left\{ \left[[\beta_2]_{eff} + [\gamma_{20}]_{eff} \right] \langle IK || D_{;0}^2 || I'K \rangle A \left(ljI; l'j'I'; 2J \frac{1}{2} \right) \delta_{KK'} \right. \leftarrow \beta\text{- and } \gamma\text{-vibrations and stretching}$$

$$+ [\gamma_{22}]_{eff} \langle IK || D_{;2}^2 + D_{;-2}^2 || I'K \rangle A \left(ljI; l'j'I'; 2J \frac{1}{2} \right)$$

$$+ [\beta_3]_{eff} \langle IK || D_{;0}^3 || I'K \rangle A \left(ljI; l'j'I'; 3J \frac{1}{2} \right) \delta_{KK'} \leftarrow K = 2 \text{ band coupling}$$

$$+ [\beta_0]_{eff} \delta_{KK'} \delta_{II'} \delta_{jj'} \delta_{ll'} \left. \right\} \leftarrow \text{Octupole coupling (negative parity band)}$$

Volume conservation correction

Effective deformations

$$[\beta_2]_{eff} = \left\langle n_i(\beta_2) \left| \frac{\delta\beta_2}{\beta_{20}} \right| n_f(\beta_2) \right\rangle$$

$$[\beta_3]_{eff} = \left\langle n_i(\beta_3) \left| \frac{\beta_3}{\beta_{20}} \right| n_f(\beta_3) \right\rangle$$

$$[\gamma_{20}]_{eff} = \left\langle n_i(\gamma) \left| \cos \gamma - 1 \right| n_f(\gamma) \right\rangle$$

$$[\gamma_{22}]_{eff} = \left\langle n_i(\gamma) \left| \frac{\sin \gamma}{\sqrt{2}} \right| n_f(\gamma) \right\rangle$$

$$[\beta_2^2]_{eff} = \left\langle n_i(\beta_2) \left| \frac{\delta\beta_2^2}{\beta_{20}^2} \right| n_f(\beta_2) \right\rangle$$

$$[\beta_3^2]_{eff} = \left\langle n_i(\beta_3) \left| \frac{\beta_3^2}{\beta_{20}^2} \right| n_f(\beta_3) \right\rangle$$

$$[\beta_0]_{eff} = -\frac{\beta_{20}}{\sqrt{4\pi}} [2[\beta_2]_{eff} + [\beta_2^2]_{eff} + [\beta_3^2]_{eff}]$$

**Effective deformations are defined by collective nuclear wavefunctions
Soft rotator model (nuclear structure) is needed here!**

Towards odd nuclides

We have soft-rotator model for even-even actinides, but no appropriate nuclear model (describing softness) for odd-A ones...

- Nuclear softness – collective effect, determined mainly by the even-even core, and varies smoothly from nucleus to nucleus
- $\langle \psi_{odd} | \beta | \psi_{odd} \rangle \approx \langle \psi_{core} | \beta | \psi_{core} \rangle$
- We may try to couple levels for bands built on single-particle state same as in GS
- We need to build appropriate core “states” (spin)

Major implications of extended couplings

- **Saturated coupling (at least for even-even nuclides)**
- **Multiband coupling (for bands, corresponding to collective excitations) → impact comparable to one from 2nd or 3rd levels from main rotational band**
- **Nucleus stretching due to rotation (centrifugal forces) → alter predictions even when only levels from main rotational band are coupled**
- **Additional monopole coupling due to account of volume conservation in vibrating nucleus → additional changes of predicted cross sections**

Regional potential for actinides: calculation algorithm

Exp. data

Levels' energies/spins/parities
of even-even nuclide or
equivalent core of odd-A nuclide

Models

Soft rotor nuclear model

Fitting parameters

SRM parameters

Effective deformations

^{238}U and ^{232}Th
exp. scattering data
and coupling scheme

OMP parameters
and nuclear
deformations

Predictions

Optical
model
predictions

Other actinide's
exp. scattering data
and coupling scheme

Coupled channels optical model

Nuclear
deformations

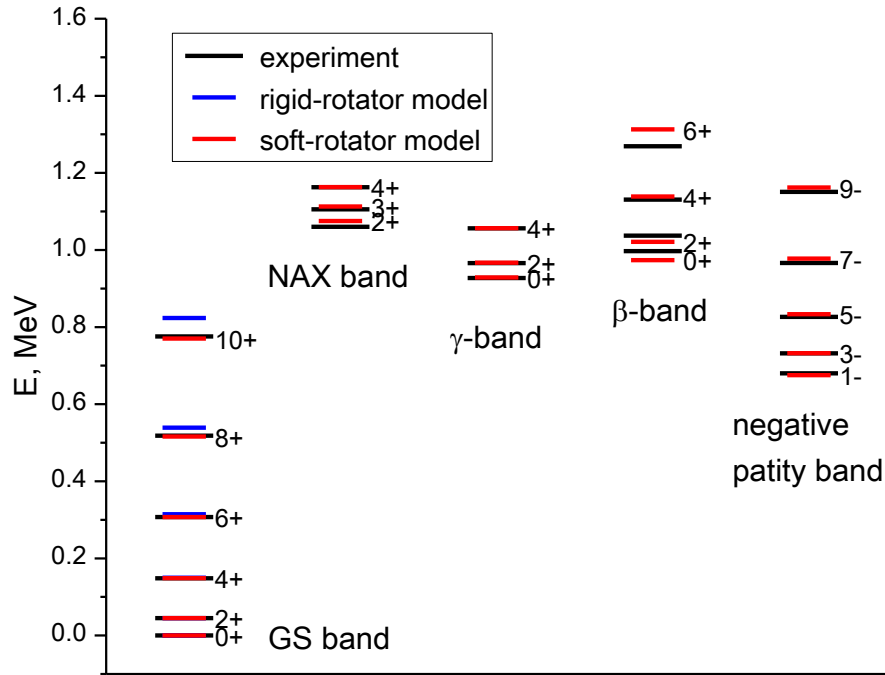
Fitting parameters

- Nuclear softness and non-axiality (all soft-rotator model parameters) – from level structure, missing levels for coupling can be restored for even-even
- **Many experimental data** for optical model (^{238}U and ^{232}Th) – fit **optical potential parameters and deformations**
- **Scarce experimental data** (^{233}U , ^{239}Pu ...) – fit only **deformations** (β_{20} , β_{30} , β_4 , β_6) with fixed potential
- **Only strength functions or nothing** available (^{241}Pu ...) – take deformations from global nuclear mass models, **no additional fitting or only β_{20}** fit to reproduce SF

WS4 deformations work better than **FRDM2012** for even-even actinides!

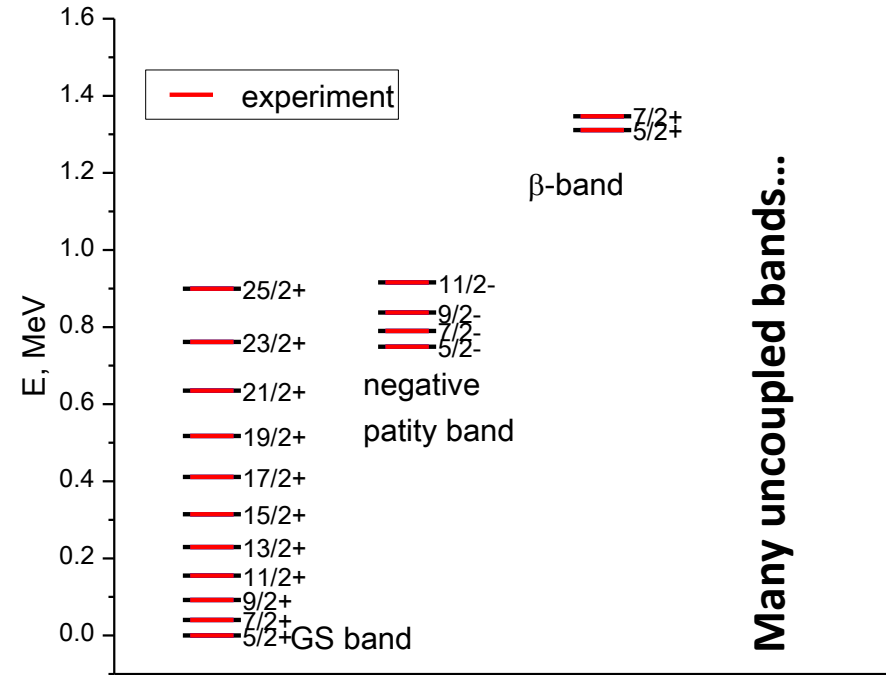
Coupling scheme

^{238}U



Saturated coupling scheme:
almost all low-lying levels
are coupled (and described by SRM)

^{233}U

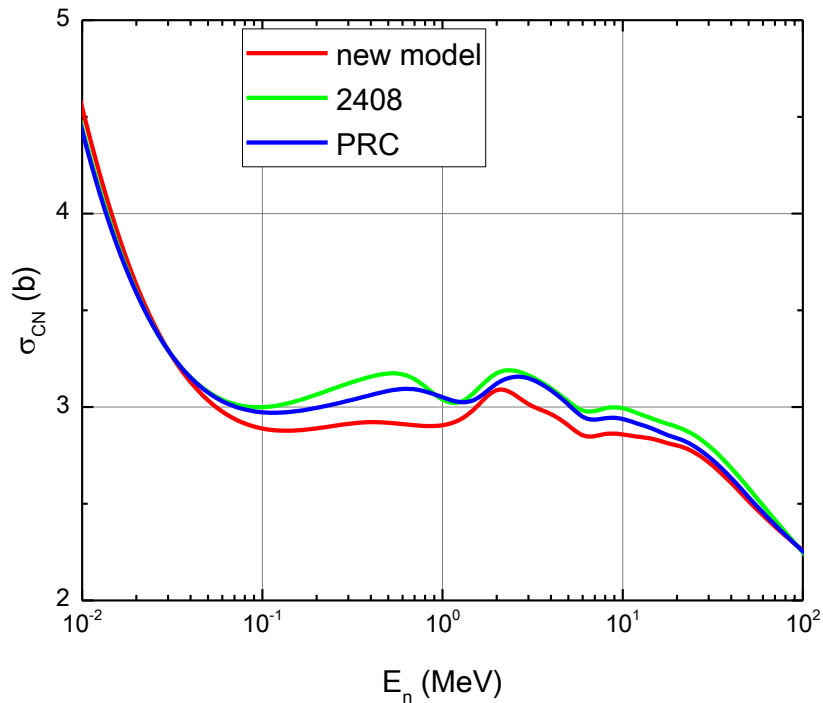


Only a few bands coupled: those that
correspond to vibrational excitation of the core
and sp-wavefunction same as in GS (ENDSF)

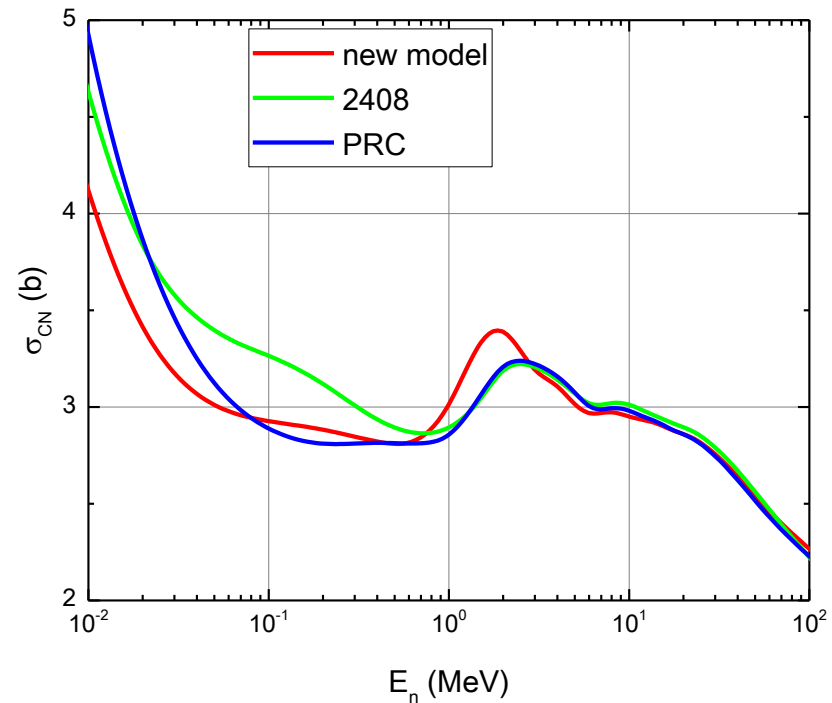
Comparison with other potentials

CN XS changes up to 0.3 barn between models fitted to the same data

^{238}U

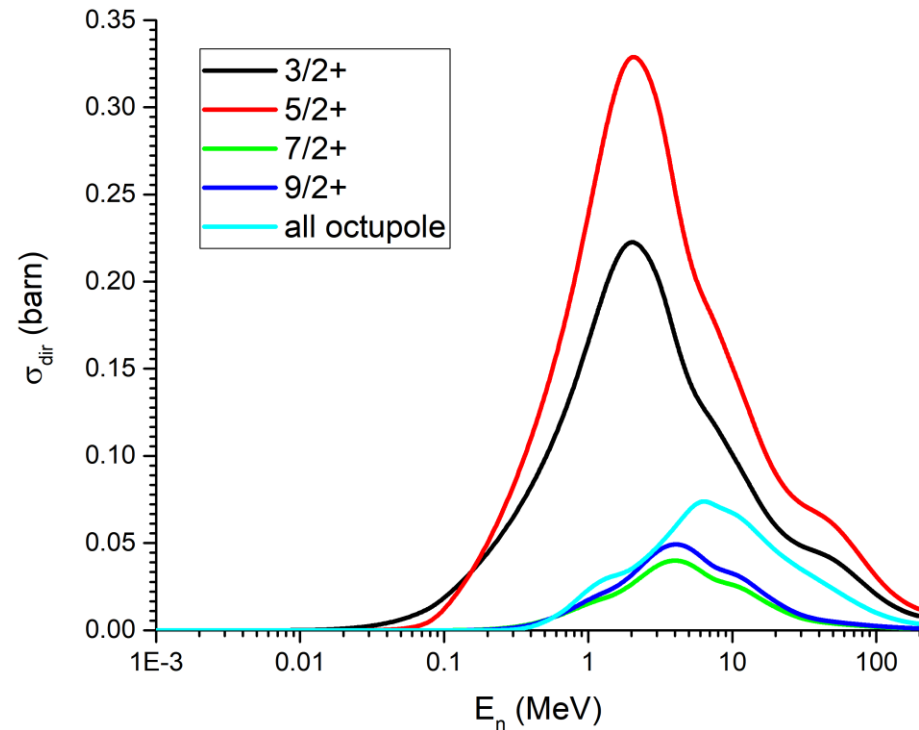
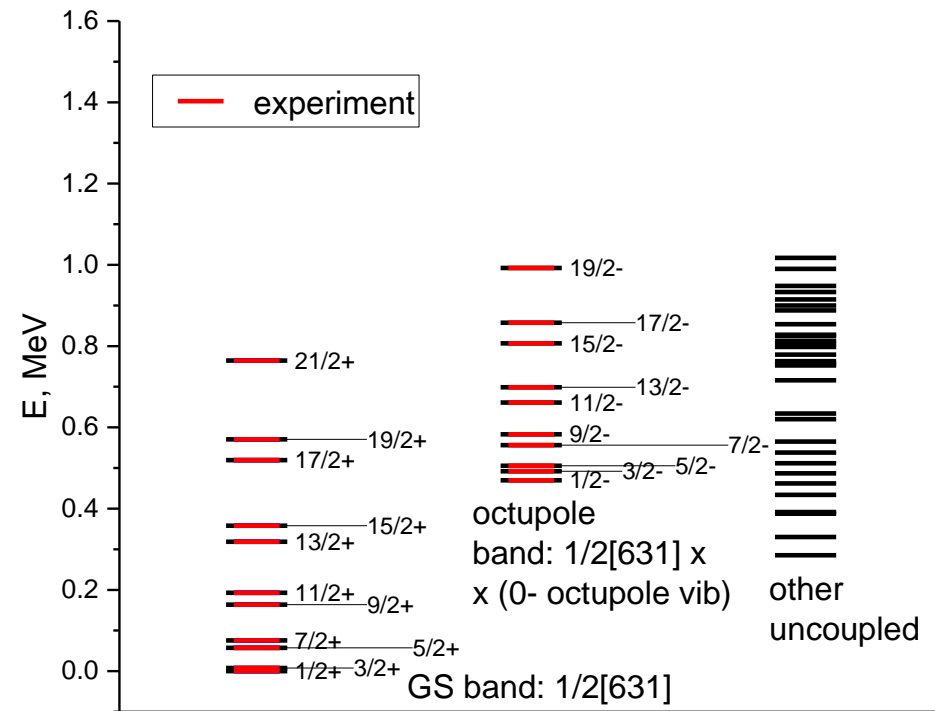


^{233}U



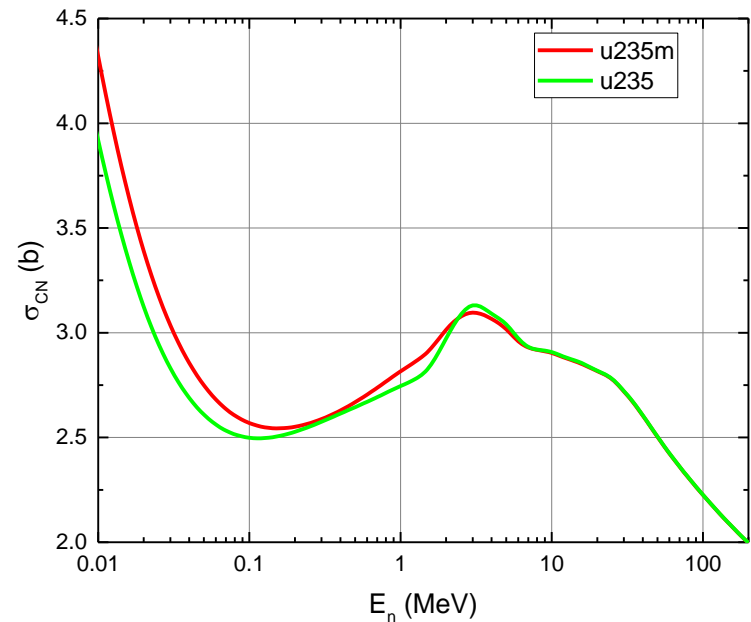
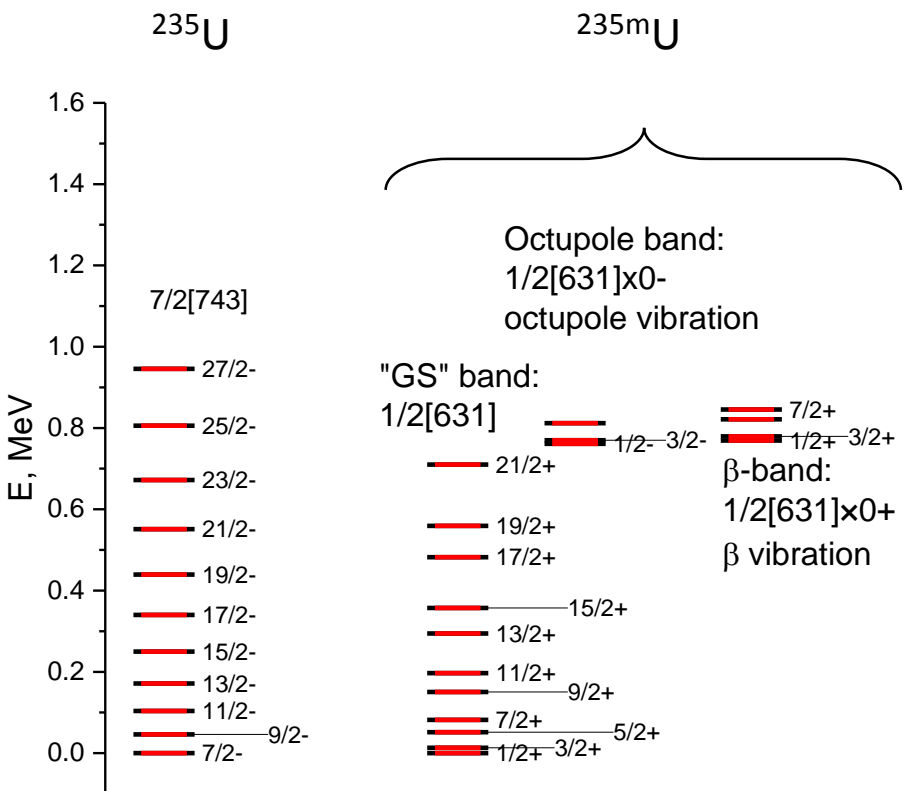
^{239}Pu : direct level excitation

Other bands' impact is comparable to one from 2nd/3rd excited GS band level



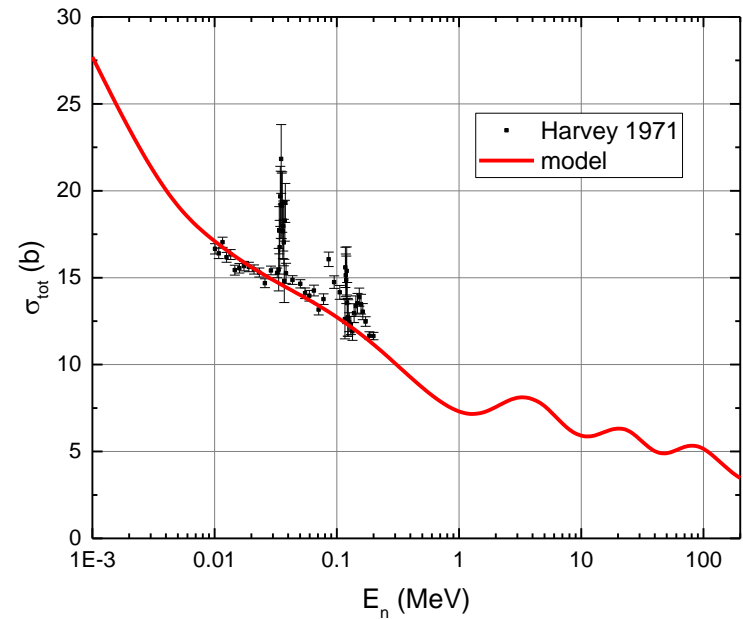
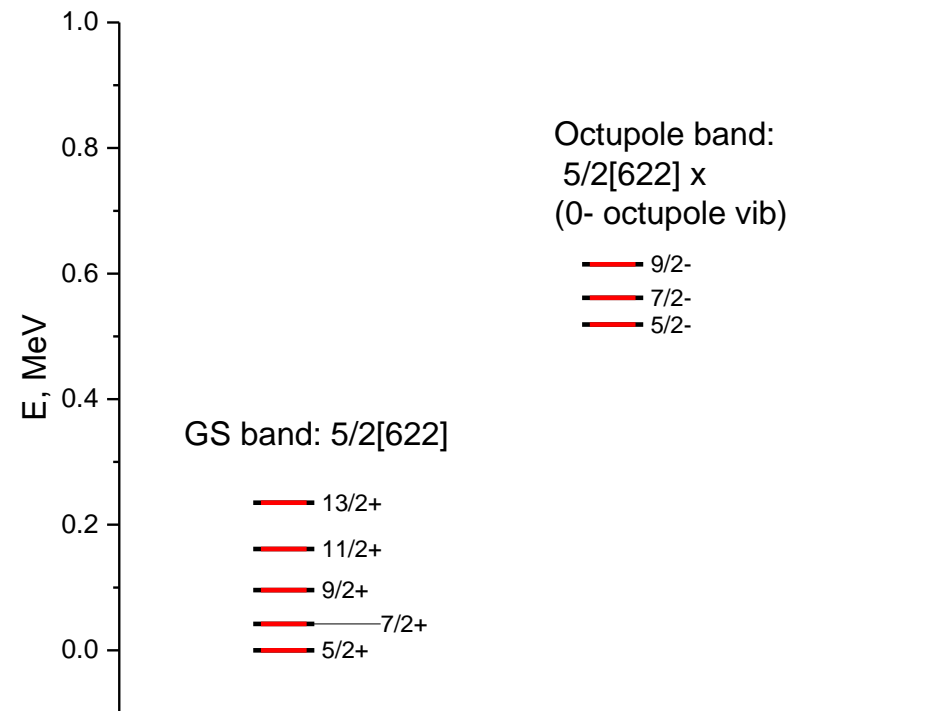
^{235}U and $^{235\text{m}}\text{U}$: change of CN cross section

Only one band coupled for ^{235}U but softness is still important
 Isomeric state has other coupled levels \rightarrow cross section changed



^{241}Pu : total CS

We fit only β_2 to reproduce S_0 value, but scarce URR total CS is fairly reproduced



Summary

- Dispersive Lane-consistent coupled channels optical model with extended couplings is described
- Softness and multiband coupling are important to reach accurate calculations results for both even-even and odd-A nuclides
- Suggested method to calculate optical model predictions for odd-A, poorly investigated nuclei or isomeric states is demonstrated on $^{233,235,235m}\text{U}$ and $^{239,241}\text{Pu}$

Thank you for your
attention!

Backup slides

Software

All calculations performed by two FORTRAN codes which have been being developed by E. Soukhovitskii and coworkers for many years:

- optical model code **OPTMAN** (optical potential fitting, cross-section calculations) with dispersive corrections as discussed with Quesada, Capote, Chiba et al.
- nuclear structure code **SHEMMAN** (soft-rotator model parameters fitting and levels prediction)

OPTMAN

- recommended to use for SRM potentials compiled in the IAEA reference input parameter library (RIPL-3) for nuclear data evaluation
- used with the EMPIRE nuclear reaction model code for basic research and nuclear data evaluation (e.g. recent Fe-56 CIELO evaluation)

RIPL-3: Capote, R. et al., Nucl. Data Sheets 110, 3107–3214 (2009)

OPTMAN and SHEMMAN: E. Sh. Sukhovitski et al., JAERI-Data/Code 2005-002 (2005)

Dispersive corrections: Soukhovitski, E. Sh. et al, JAEA-Data/Code--2008-025 (2008)

Soft description of Fe56: W. Sun et al, Nucl. Data Sheets 118, 191-194 (2014)

Core states assignment (^{233}U states from ENSDF)

Band (A): $5/2[633]$,
 $\alpha=+1/2$ band

Band (a): $5/2[633]$,
 $\alpha=-1/2$ band

		<u>(23/2⁺)</u>	<u>761.65</u>	Core I $^{\pi}$
				8+
Core I $^{\pi}$	<u>(21/2⁺)</u>	<u>635.27</u>		
8+				
	<u>(19/2⁺)</u>	<u>517.55</u>		
6+				6+
	<u>(17/2⁺)</u>	<u>411.17</u>		
4+				4+
	<u>(13/2⁺)</u>	<u>314.60</u>		
2+				2+
	<u>9/2⁺</u>	<u>92.16</u>		
0+				0+
	<u>5/2⁺</u>	<u>0.0</u>		

GS band

Core state: no vibrational excitation,
only rotation

Band(H): $K^{\pi}=5/2^{-}$:
 $5/2[633] \otimes (K^{\pi}=0^{-})$
octupole vibration) Core I $^{\pi}$

	<u>(11/2⁻)</u>	<u>916</u>	Core I $^{\pi}$
			2+
Core I $^{\pi}$	<u>(9/2⁻)</u>	<u>838</u>	
2+			
	<u>(7/2⁻)</u>	<u>790</u>	0+
	<u>(5/2⁻)</u>	<u>749</u>	
0+			

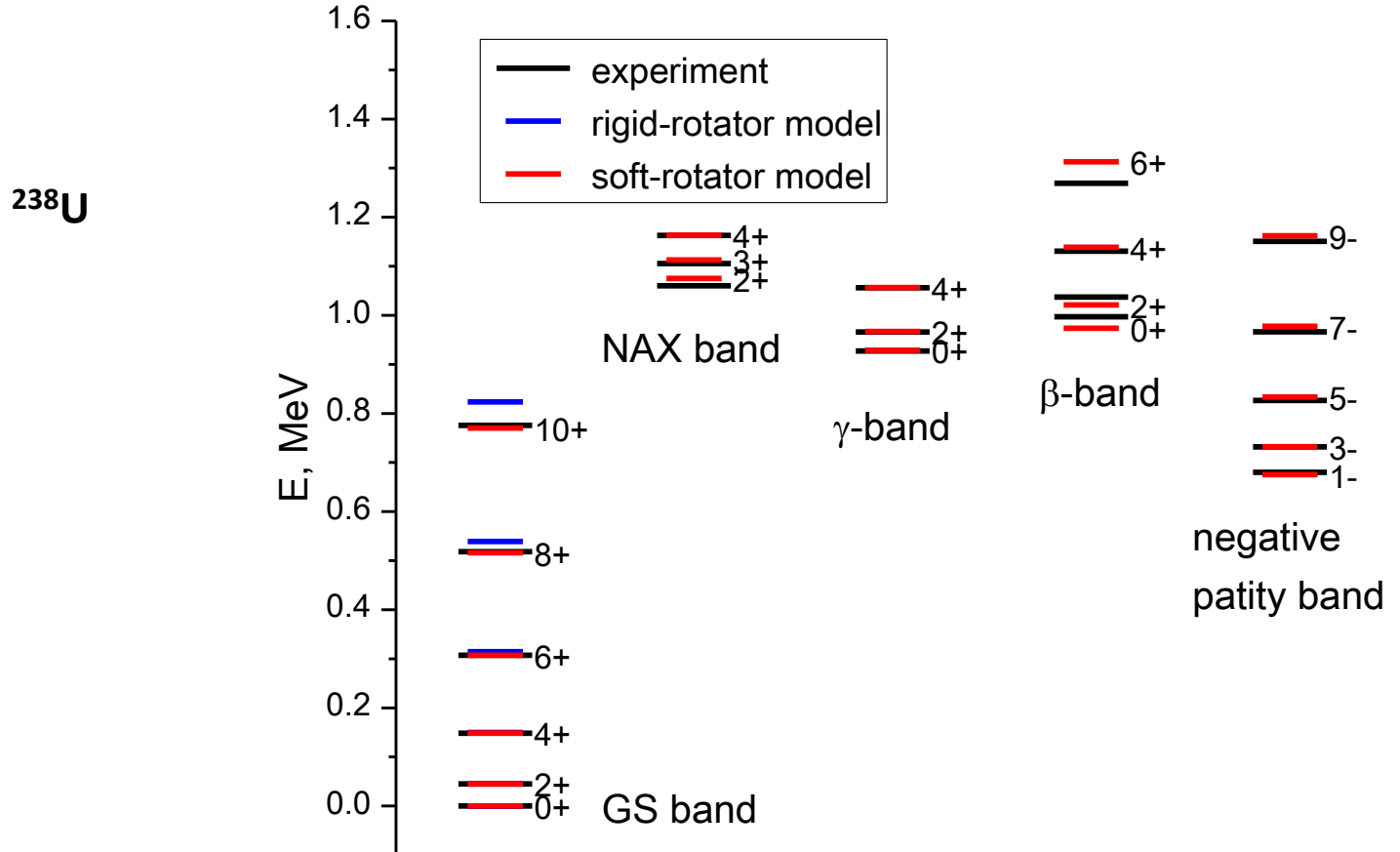
Octupole band

Core state: first octupole excitation,
rotation

In fact we
have also
2 subbands
here!

Is softness important?

GS band levels energies deviate from rigid rotor level sequence for high spins due to nuclear stretching from centrifugal forces.
 Soft-rotor model describes experimental energies and other bands as well.

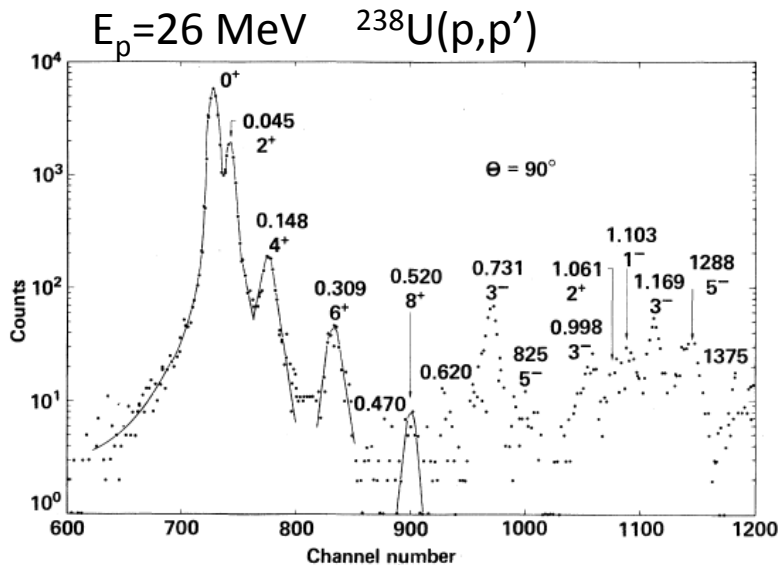


Are other bands important?

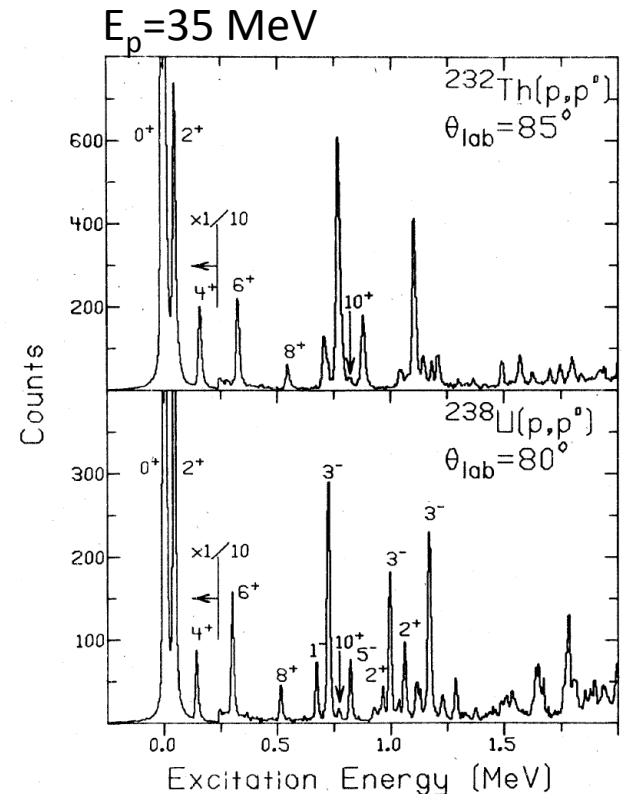
No nucleon scattering data for other-than-GS band in EXFOR for actinides

...

but there are clear evidences of levels from **other bands** in some proton inelastic scattering experimental works



L. F. Hansen et al, PRC 25 (1982) 189



C. H. King et al, PRC 20 (1979) 2084

Approaches to effective deformations

Effective deformations as fitting parameters

- Rough model to keep minimal number of parameters, only multiband coupling accounted (rigid rotor coupling within each band)
- Ambiguous description for nuclides with poor experimental data
- No additional knowledge needed

Direct calculation

- Nuclear structure model for soft deformed nuclei is needed
- More consistent result
- Gives all model effects

For even-even nuclides using SRM:

E.S. Soukhovitskiĭ et al, PRC 94 (2016) 64605

D. Martyanov et al, EPJ Web Conf. 146 (2017) 12031 (ND2016)

Recent dispersive OMP development

Dispersive Lane-consistent OMP for deformed nuclei (actinides):

- **2008** – rigid rotor regional potential (RIPL 2408)
- **2015** – parametric multiband coupling, rigid intra-band coupling; good description of even-even, but only sp-excitations were used for odd-A nuclides (PRC 2016)
- **2016** – soft rotor description of even-even nuclei (ND 2016)
- **2019** – approach to soft odd-A nuclides: collective excitation of the core, not sp-states; detailed analysis of softness effects (ND 2019)

Towards other odd-A actinides

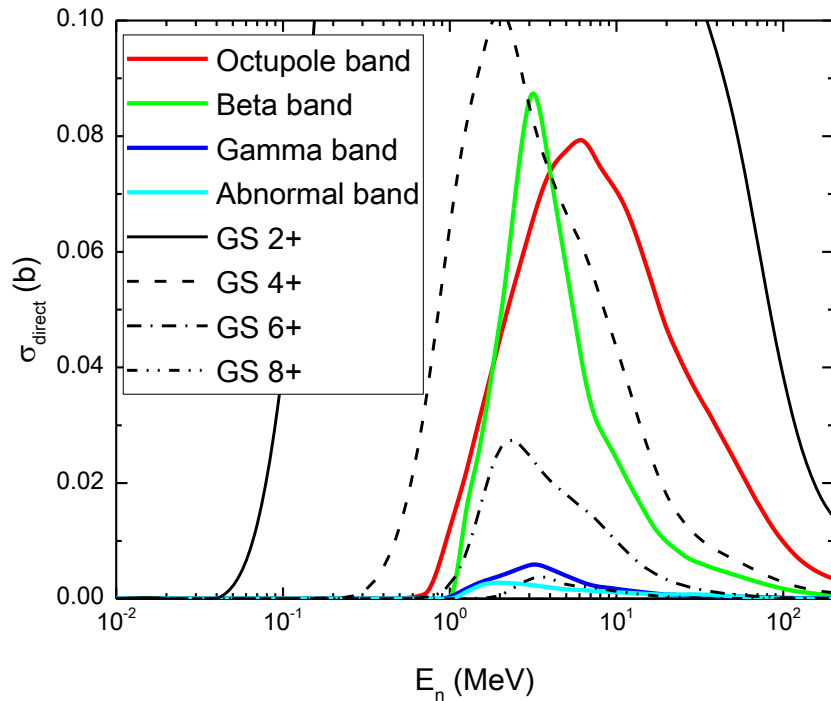
How to evaluate many important nuclides with no identified bands built on only vibrational excitation of the core (e.g. ^{235}U)?

- Make calculation with only GS band levels coupled, but using soft model – results should be more reliable than for rigid rotor (primary calculations are done for ^{235}U and ^{239}Pu)
- Use more sophisticated nuclear structure models to identify corresponding states
- Construct these states using evaluations of the core (corresponding even-even nucleus) excitations and correct level/spin sequence for an odd-A nuclide

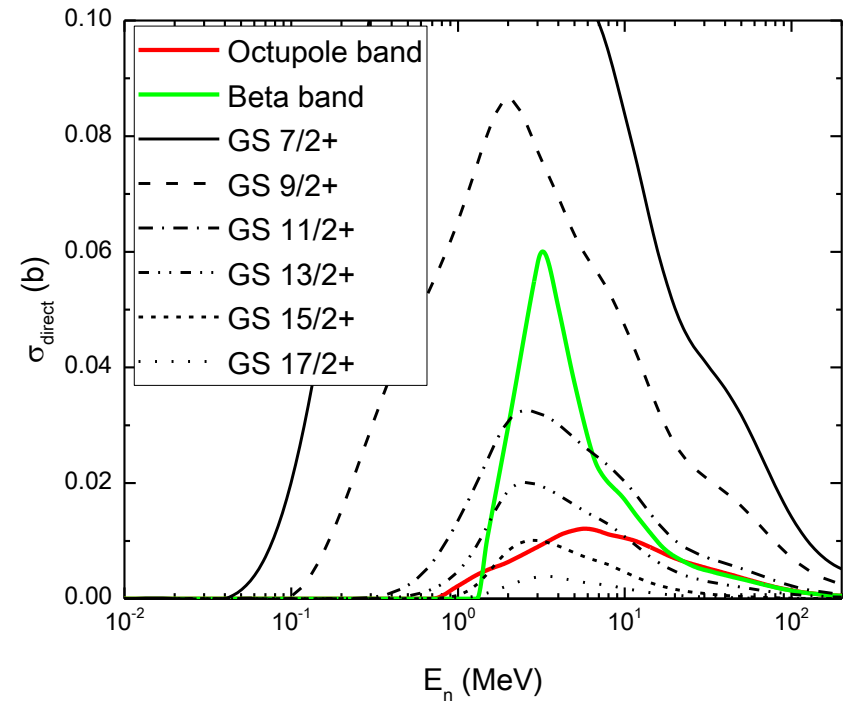
Multiband coupling 1: Direct level excitation XS

Other bands' impact is comparable to one from 2nd/3rd excited GS band level

²³⁸U



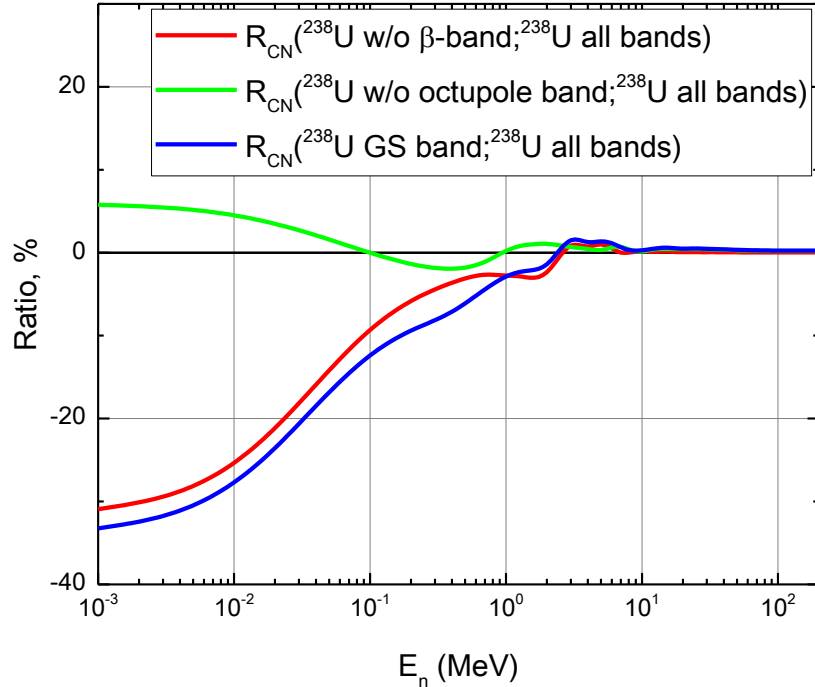
²³³U



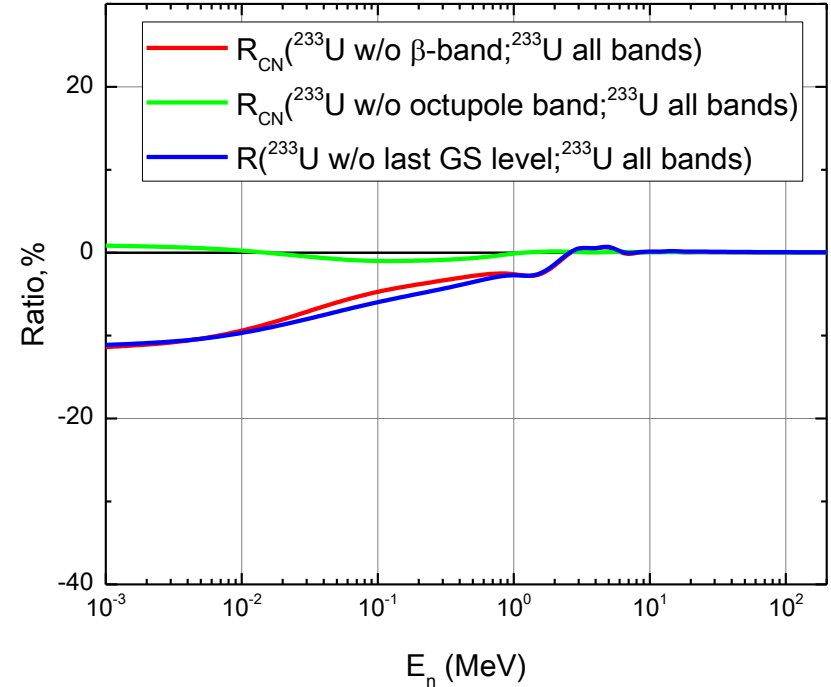
Multiband coupling 2: CN XS change due to bands removal

Large impact of β -vibrational states in the coupling scheme

^{238}U

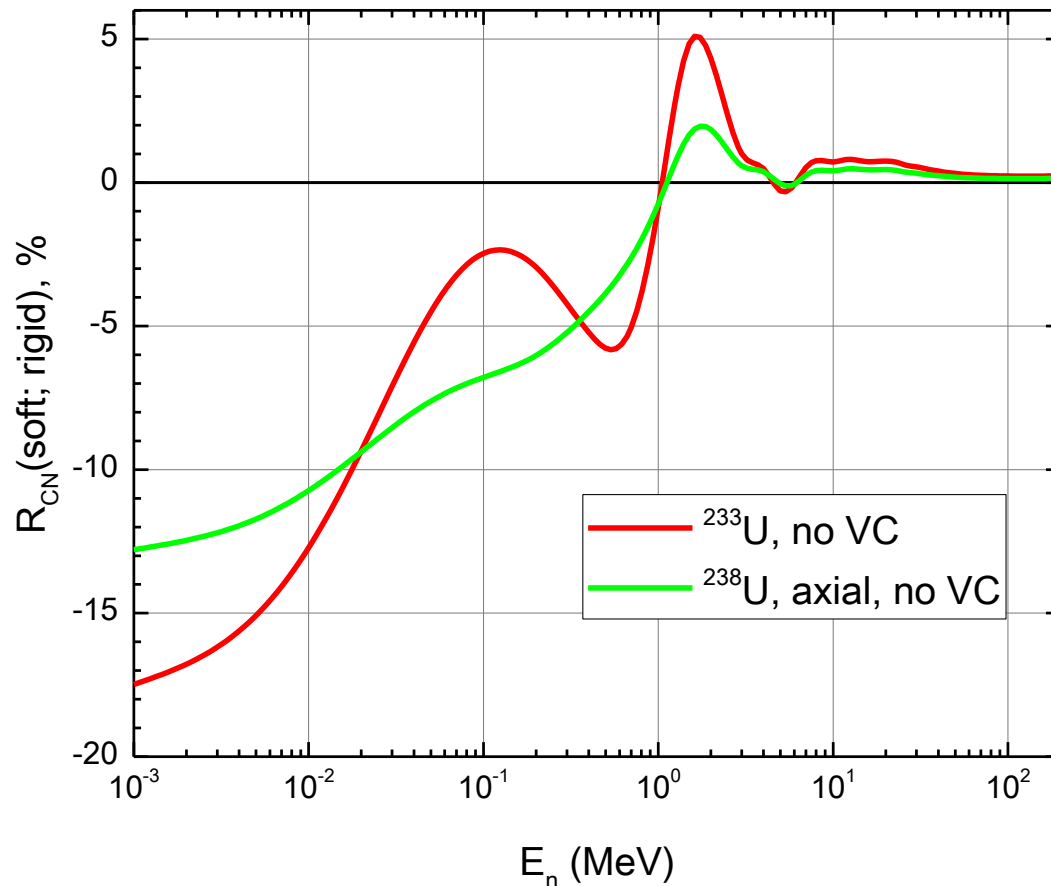


^{233}U



Nucleus stretching: CN XS change

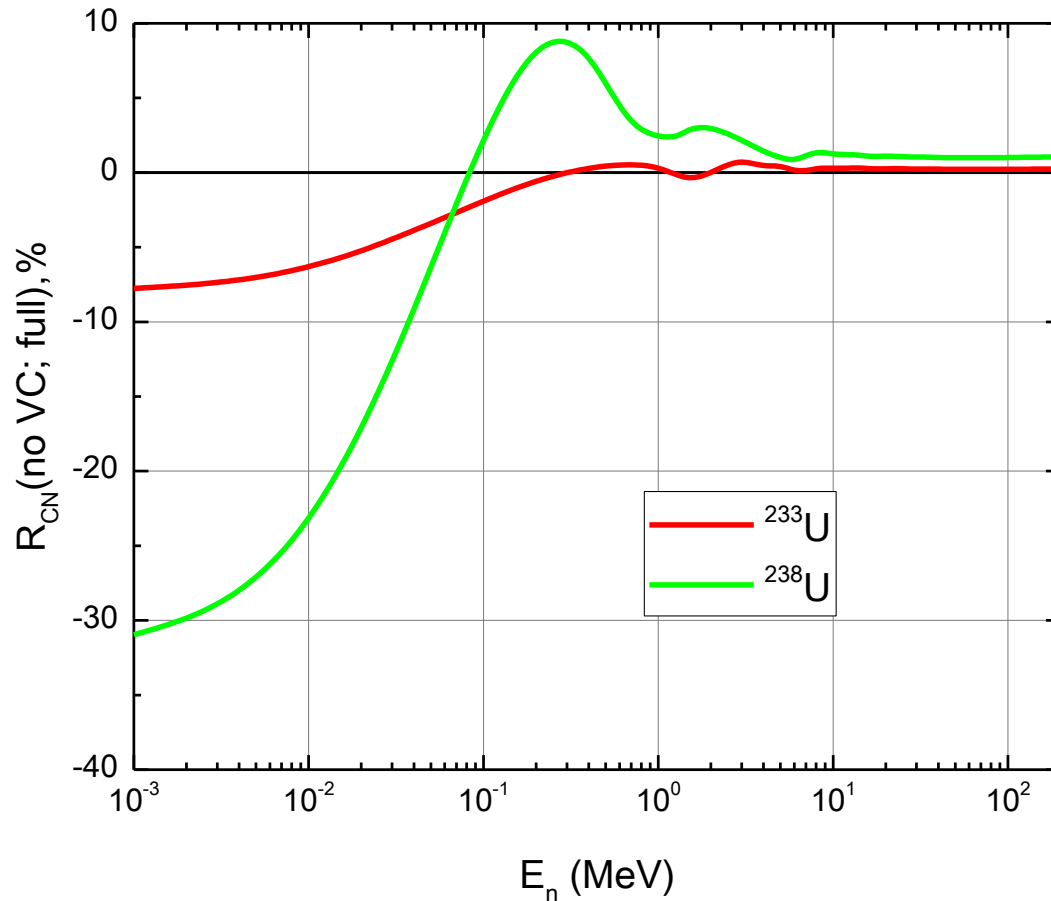
Nucleus stretching gives large impact even then only GS-band levels are coupled



Only GS band is coupled here, no non-axiality or volume conservation is accounted

Volume conservation: CN XS change

Volume conservation effect is also important
but static deformations also contribute here



Optical potential

$$\begin{aligned}
 V(r, R(\theta, \varphi), E) = & \underbrace{-V_{HF}(E) f_{WS}(r, R_{HF}(\theta, \varphi))}_{\text{Main real part}} \\
 & \underbrace{-[\Delta V_v(E) + iW_v(E)] f_{WS}(r, R_v(\theta, \varphi))}_{\text{Volume}} \\
 & \underbrace{-[\Delta V_s(E) + iW_s(E)] g_{WS}(r, R_s(\theta, \varphi))}_{\text{Surface}} \\
 & + V_{Coul}(r, R_c(\theta, \varphi)) \quad \text{Coulomb} \\
 & + \left(\frac{\hbar}{m_\pi c} \right)^2 [V_{so}(E) + \Delta V_{so}(E) + iW_{so}(E)] \\
 & \quad \times \frac{1}{r} \frac{d}{dr} f_{WS}(r, R_{so}) (\hat{l} \cdot \hat{\sigma}) \quad \text{Spin-orbit}
 \end{aligned}$$

Energy and other Radial profile Nucleus shape

$$f_{WS}(r, R) = \frac{1}{1 + \exp\left(\frac{r - R}{a}\right)}$$

Coulomb correction
(allows Lane consistency):

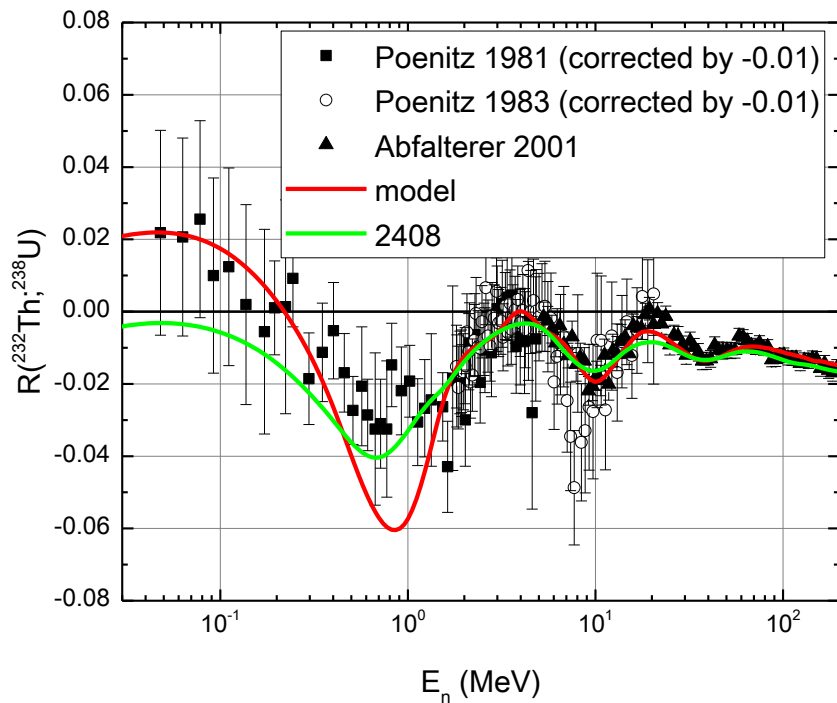
$$E = E_{inc} - E_{Coul}$$

OMP figure of merit: symmetrized total XS ratio for different nuclei

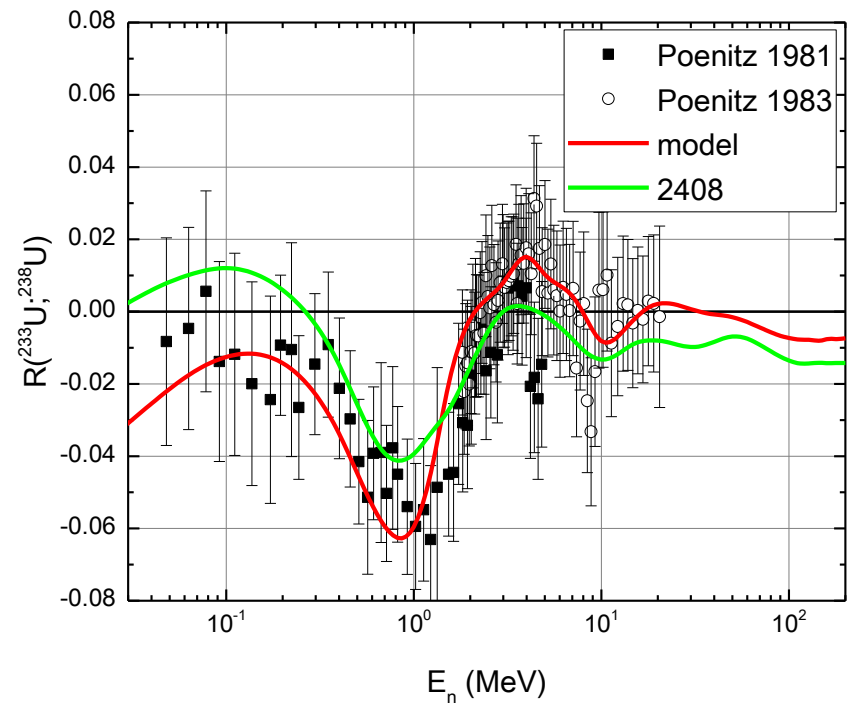
$$R(A, B) = \frac{1}{2} \frac{\sigma_A - \sigma_B}{\sigma_A + \sigma_B}$$

Many other data is fitted: total XS, (in)elastic angular distributions, (p,n), strength functions and scattering radii

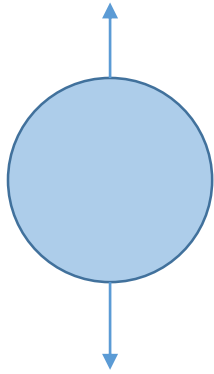
^{232}Th to ^{238}U



^{233}U to ^{238}U



Volume conservation term



$$R(\theta, \varphi) = R_0$$

$$R'(\theta, \varphi) = R_0 \left\{ 1 + \beta_{00} Y_{00} + \sum_{\lambda\mu} \beta_{\lambda\mu} Y_{\lambda\mu}(\theta, \varphi) \right\}$$

Compensation term

Incompressible nuclear matter: $V = V'$



$$\beta_{00} = -\frac{\sum \beta_{\lambda}^2}{\sqrt{4\pi}}$$